

FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 124-838
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/762805 Unknown
INTERNATIONAL APPLICATION NO. PCT/GB99/03055	INTERNATIONAL FILING DATE 14 September 1999	PRIORITY DATE CLAIMED 14 September 1998
TITLE OF INVENTION FABRICATION OF OPTICAL WAVEGUIDES		
APPLICANT(S) FOR DO/EO/US SMITH et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). 6. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). 7. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. 8. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 9. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 10. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under <u>PCT Article 34</u> . 11. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). 12. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. 13. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. 14. <input type="checkbox"/> have not been made and will not be made. 15. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)). 16. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 17. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. To 16. Below concern document(s) or information included: 18. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 19. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 20. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 21. <input type="checkbox"/> A substitute specification. 22. <input type="checkbox"/> A change of power of attorney and/or address letter. 23. <input checked="" type="checkbox"/> Other items or information. PTO-1449/ International Search Report <input type="checkbox"/> This application is entitled to "Small entity" status. <input type="checkbox"/> "Small entity" statement attached.		

CALCULATIONS PTO USE ONLY

Date _____